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EXPRESS MAIL LABEL NO.: EV 148 725 077 US

PATENT APPLICATION
Docket No.: 13768.164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

RECEIVED

Assistant Commissioner for Patents Washington, DC 20231

JAN 1 4 2003

Sir:

Technology Center 2100

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of six (6) references submitted for consideration.
- X Legible copies of the listed references or their relevant portions.
- All English translations of each nonenglish reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.						
Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.						
Legible Copies of previously filed Information Disclosure Statement for application Serial No, filed						
In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:						
Promptness Certification.						
Check No in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);						
Petition for Consideration and Check No in the amount of \$						
X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.						
Dated this 1014 day of January, 2003.						
Respectfully submitted, Respectfully submitte						
022913						

PATENT TRADEMARK OFFICE

EXPRESS MAIL LABEL NO.: EV 148 725 077 US

PATENT APPLICATION

Docket No.: 13768.164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
	Neil S. Fishman, et al.)	
Serial No.:	09/894,607)) Art Unit	
Filed:	June 28, 2001) 2182	
Confirmation No.:	5110)	
For:	CREDENTIAL AUTHENTICATION FOR MOBILE USERS)))	
Examiner:	unassigned)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

RECEIVED

JAN 1 4 2003

Assistant Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed. Pursuant to 37 C.F.R. 1.97(e), each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

To secure consideration of the references submitted with this Information Disclosure Statement, please charge any applicable fees or credit any overpayment to Deposit Account No. 23-3178 of the undersigned.

Dated this 10th day of January, 2003.

Respectfully submitted,

RICK D. NYDEGGER Attorney for Applicant

Registration No. 28,651

022913

PATENT TRADEMARK OFFICE

RDN:dmh

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EXPRESS MAIL LABEL NO.: EV 148 725 077 US

Form PTO-1449

Sheet 1 of 2

Applicant:

Neil S. Fishman, et al.

Serial No.:

09/894,607

Att'y Docket No.: 13768.164

Filing Date:

June 28, 2001

Group: 2182

Confirmation No.:

5110

CREDENTIAL AUTHENTICATION FOR MOBILE USERS

FOrl S

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner <u>Initial*</u>	Patent <u>Number</u>	Issue <u>Date</u>	Name	Class	Sub <u>Class</u>	Filing <u>Date</u>		
A1.	5,586,260	12/17/96	Hu	395	200.2	02/12/93		
A2.	6,067,623	05/23/00	Blakley, III et al.	713	201	11/21/97		
Foreign Patent Documents								
Examiner Initial*	Document Number	Publ. <u>Date</u>	Country or Patent Office	Sub <u>Class</u>	<u>Class</u>	Trans- lation		
A3.	WO 00/22794	04/20/00	WO	H04L	29/06			
A4.	WO 00/46963	08/10/00	WO	H04L	12/66			
A5.	WO 01/03402	01/11/01	WO	H04L	29/06			

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner Initial*

A6.

Mark Hoogenboom, et al., "Security for Remote Access and Mobile Applications," Computers & Security, Volume 19, No. 2, February 2000, pages 149-163.

Examiner:

Date Considered:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 148 725 077 US

Form PTO-1449 Applicant:

Neil S. Fishman, et al.

Serial No.: 09/894,607 Att'y Docket No.: 13768.164

Filing Date: June 28, 2001 Group: 2182

Confirmation No.: 5110

CREDENTIAL AUTHENTICATION FOR MOBILE USERS

Sheet 2 of 2

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

01-13-03

2182 #3

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PATENT APPLICATION

) Art Unit) 2182

Docket: 13768.164 01.17.63

1 0 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.

Neil S. Fishman, et al.

09/894,607

5110

Filed:

June 28, 2001.

For:

CREDENTIAL AUTHENTICATION FOR

MOBILE USERS

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JAN 1 4 2003

Technology Center 2100

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No.: EV 148 725 077 US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 10⁷⁴ day of 1003:

- Transmittal Letter (2 pgs., in triplicate)
- Information Disclosure Statement (2 pgs.)
- Form PTO-1449 citing six (6) references (2 pgs.)
- Legible copies of six cited references
- Postcard

Respectfully submitted,

Show M. Hall

Dacia M. Holt, Legal Assistant to

RICK D. NYDEGGER

Attorney for Applicant

Registration No. 28,651

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